

SN
FA 10/624,975 Sheet 1 of 3

FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	Attorney Docket No.: Divisional of Applic. No. GR 98 P 8182 D 09/465,285 Applicant Reinhold Noe et al. Filing Date of Divisional Group Art Unit July 22, 2003 2872
--	---

EXAMINER INITIALS	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
FA	A	5,739,943	04/98	Ohshima et al.		
FA	B	5,757,984	05/98	Hatori		
	C					
	D					
	E					
	F					
	G					
	H					
	I					

FOREIGN PATENT DOCUMENT							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO	
FA	J 198 30 990 A1	01/00	Germany				
FA	K 6-308438	11/94	Japan				
	L						
	M						
	N						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
FA		Fred Heismann et al.: "Integrated-Optical Single-Sideband Modulator and Phase Shifter", IEEE Journal of Quantum Electronics, Vol. QE-18, No. 4, April 1982, pp. 767-71.
FA		R.C. Alferness et al.: "Low Loss, Wavelength Tunable, Waveguide Electro-Optic Polarization Controller for $\lambda = 1.32 \mu\text{m}$ ", Appl. Phys. Lett., Vol. 47, No. 11, December 1, 1985, pp. 1137-39.

EXAMINER <div style="text-align: center; font-family: cursive; font-size: 1.2em;">FAIEZ ASSAF</div>	DATE CONSIDERED <div style="text-align: center; font-family: cursive; font-size: 1.2em;">7/19/04</div>
--	---

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SN 10/624,975 Sheet 2 of 3

FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	Attorney Docket No.: Divisional of Applic. No. GR 98 P 8182 D 09/465,285 Applicant Reinhold Noe et al. Filing Date of Divisional Group Art Unit July 22, 2003 2872
--	---

EXAMINER INITIALS	A	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

FOREIGN PATENT DOCUMENT								
	J	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO	
	J							
	K							
	L							
	M							
	N							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
		Fred Heismann: "Integrated-Optic Polarization Transformer for Reset-Free Endless Polarization Control", IEEE Journal of Quantum Electronics, Vol. 25, No. 8, August 1989, pp. 1898-1906.
		H. Herrmann et al.: "Integrated Optical, Acoustically Tunable Wavelength Filters and Switches and their Network Applications", ECIO'93, University Paderborn, Germany.

EXAMINER 	DATE CONSIDERED 7/19/04
--------------	----------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SN 10/624,975 Sheet 3 of 3

FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	Attorney Docket No.: Divisional of Applic. No. GR 98 P 8182 D 09/465,285 Applicant Reinhold Noe et al. Filing Date of Divisional Group Art Unit July 22, 2003 2872
--	--

EXAMINER INITIALS	A	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

FOREIGN PATENT DOCUMENT								
	J	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO	
	J							
	K							
	L							
	M							
	N							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
		R. Noe et al.: "Fiber-Based Distributed PMD Compensation at 20 GB/S", ECOC'98, September 20-24, 1998, Madrid, Spain.
		F. Heismann et al.: "Electro-Optically Tunable, Narrowband Ti:LiNbO ₃ Wavelength Filter", Electronics Letters, May 7, 1987, Vol. 23, No. 11, pp. 572-74.

EXAMINER 	DATE CONSIDERED 7/19/04
--------------	------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.